



#10  
Docket No.: M4065.0159/P159-B  
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
Li Li, et al

Confirmation No.: 5524

Application No.: 09/939,651

Group Art Unit: 1765

Filed: August 28, 2001

Examiner: B. Tran

For: METHOD OF CONTROLLING  
STRIATIONS AND CD LOSS IN  
CONTACT OXIDE ETCH

RECEIVED  
MAY - 8 2003  
TC-1700 MAIL ROOM

**REQUEST FOR ACKNOWLEDGMENT OF  
INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
Washington, DC 20231

Dear Sir:

In the Office Action dated April 24, 2003, there is no indication that the Information Disclosure Statement filed on March 26, 2003 has been considered. Applicants respectfully request that the receipt and consideration of this Information Disclosure Statement (IDS) be formally acknowledged at the earliest possible convenience. For convenience, attached hereto is a copy of the IDS and the corresponding postcard

Application No.: 09/939,651

Docket No.: M4065.0159/P159-B

receipt with date-stamp showing the date of filing of the above listed Information Disclosure Statement.

Dated: May 6, 2003

Respectfully submitted,



By

Thomas J. D'Amico

Registration No.: 28,371

DICKSTEIN SHAPIRO MORIN &  
OSHINSKY LLP

2101 L Street NW

Washington, DC 20037-1526

(202) 785-9700

Attorneys for Applicants

RECEIVED  
MAY - 8 2003  
TC 1700 MAIL ROOM

RECEIVED  
MAY - 8 2003  
TO 1700 MAIL ROOM

Atty Docket No.: M4065.0159/P159-B

Inventor: Bradley J. Howard, et al

Application No.: 09/939,651-Conf. #5524 Filing Date: August 28, 2001  
Title: METHOD OF CONTROLLING STRIATIONS AND CD LOSS IN CONTACT  
OXIDE ETCH

**Documents Filed:**

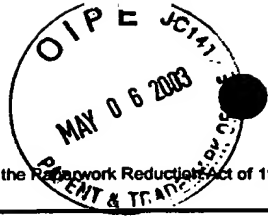
Information Disclosure Statement (3 pages)  
IDS (Citation) by Applicant (1 page)  
Fee Transmittal (1 page)  
Transmittal (1 page)  
Payment by credit card. Form PTO-2038 is attached (1 page)  
Charge \$180.00 to credit card

Via: PTO Daily Run  
Sender's Initials: TJD/GC/meb

m.s.



Date: March 26, 2003



PTO/SB/17 (01-03)  
Approved for use through 04/30/2003. OMB 0651-0032  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>FEE TRANSMITTAL</b> <b>for FY 2003</b> <small>Patent fees are subject to annual revision.</small>		<b>Complete If Known</b>																																																																																																																																																																																																																																																																																																															
<div style="border: 1px solid black; padding: 5px;"><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</div> <div style="border: 1px solid black; padding: 5px;"><b>TOTAL AMOUNT OF PAYMENT</b>    (\$ )    180.00</div>		Application Number	09/939,651-Conf. #5524																																																																																																																																																																																																																																																																																																														
		Filing Date	August 28, 2001																																																																																																																																																																																																																																																																																																														
		First Named Inventor	Bradley J. Howard																																																																																																																																																																																																																																																																																																														
		Examiner Name	P. Brock																																																																																																																																																																																																																																																																																																														
		Group Art Unit	1765																																																																																																																																																																																																																																																																																																														
		Attorney Docket No.	M4065.0159/P159-B																																																																																																																																																																																																																																																																																																														
<div style="display: flex; justify-content: space-between;"><div style="width: 48%;"><b>METHOD OF PAYMENT (check all that apply)</b><div style="display: flex; justify-content: space-between; margin-top: 5px;"><div><input type="checkbox"/> Check</div><div><input checked="" type="checkbox"/> Credit Card</div><div><input type="checkbox"/> Money Order</div><div><input type="checkbox"/> Other</div><div><input type="checkbox"/> None</div></div><div style="margin-top: 5px;"><input type="checkbox"/> Deposit Account</div><div style="margin-top: 5px;">Deposit Account Number: 04-1073</div><div style="margin-top: 5px;">Deposit Account Name: Dickstein Shapiro Morin &amp; Oshinsky LLP</div><div style="margin-top: 5px;">The Commissioner is hereby authorized to: (check all that apply)</div><div style="display: flex; justify-content: space-between; margin-top: 5px;"><div><input type="checkbox"/> Charge fee(s) indicated below</div><div><input checked="" type="checkbox"/> Credit any overpayments</div></div><div style="margin-top: 5px;"><input type="checkbox"/> Charge any additional fee(s) during the pendency of this application</div><div style="margin-top: 5px;"><input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee</div></div><div style="margin-top: 5px;">to the above-identified deposit account.</div></div> <div style="width: 48%;"><b>FEE CALCULATION (continued)</b> <b>3. ADDITIONAL FEES</b><table border="1" style="width:100%; border-collapse: collapse;"><thead><tr><th colspan="2">Large Entity</th><th colspan="2">Small Entity</th><th rowspan="2">Fee Description</th><th rowspan="2">Fee Paid</th></tr><tr><th>Fee Code</th><th>Fee (\$)</th><th>Fee Code</th><th>Fee (\$)</th></tr></thead><tbody><tr><td>1051</td><td>130</td><td>2051</td><td>65</td><td>Surcharge - late filing fee or oath</td><td></td></tr><tr><td>1052</td><td>50</td><td>2052</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr><tr><td>1053</td><td>130</td><td>1053</td><td>130</td><td>Non-English specification</td><td></td></tr><tr><td>1812</td><td>2,520</td><td>1812</td><td>2,520</td><td>For filing a request for <i>ex parte</i> reexamination</td><td></td></tr><tr><td>1804</td><td>920*</td><td>1804</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr><tr><td>1805</td><td>1,840*</td><td>1805</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr><tr><td>1251</td><td>110</td><td>2251</td><td>55</td><td>Extension for reply within first month</td><td></td></tr><tr><td>1252</td><td>410</td><td>2252</td><td>205</td><td>Extension for reply within second month</td><td></td></tr><tr><td>1253</td><td>930</td><td>2253</td><td>465</td><td>Extension for reply within third month</td><td></td></tr><tr><td>1254</td><td>1,450</td><td>2254</td><td>725</td><td>Extension for reply within fourth month</td><td></td></tr><tr><td>1255</td><td>1,970</td><td>2255</td><td>985</td><td>Extension for reply within fifth month</td><td></td></tr><tr><td>1401</td><td>320</td><td>2401</td><td>160</td><td>Notice of Appeal</td><td></td></tr><tr><td>1402</td><td>320</td><td>2402</td><td>160</td><td>Filing a brief in support of an appeal</td><td></td></tr><tr><td>1403</td><td>280</td><td>2403</td><td>140</td><td>Request for oral hearing</td><td></td></tr><tr><td>1451</td><td>1,510</td><td>1451</td><td>1,510</td><td>Petition to Institute a public use proceeding</td><td></td></tr><tr><td>1452</td><td>110</td><td>2452</td><td>55</td><td>Petition to revive - unavoidable</td><td></td></tr><tr><td>1453</td><td>1,300</td><td>2453</td><td>650</td><td>Petition to revive - unintentional</td><td></td></tr><tr><td>1501</td><td>1,300</td><td>2501</td><td>650</td><td>Utility issue fee (or reissue)</td><td></td></tr><tr><td>1502</td><td>470</td><td>2502</td><td>235</td><td>Design issue fee</td><td></td></tr><tr><td>1503</td><td>630</td><td>2503</td><td>315</td><td>Plant issue fee</td><td></td></tr><tr><td>1460</td><td>130</td><td>1460</td><td>130</td><td>Petitions to the Commissioner</td><td></td></tr><tr><td>1807</td><td>50</td><td>1807</td><td>50</td><td>Processing fee under 37 CFR 1.17(q)</td><td></td></tr><tr><td>1806</td><td>180</td><td>1806</td><td>180</td><td>Submission of Information Disclosure Stmt</td><td>180.00</td></tr><tr><td>8021</td><td>40</td><td>8021</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr><tr><td>1809</td><td>750</td><td>2809</td><td>375</td><td>Filing a submission after final rejection (37 CFR 1.129(a))</td><td></td></tr><tr><td>1810</td><td>750</td><td>2810</td><td>375</td><td>For each additional invention to be examined (37CFR 1.129(b))</td><td></td></tr><tr><td>1801</td><td>750</td><td>2801</td><td>375</td><td>Request for Continued Examination (RCE)</td><td></td></tr><tr><td>1802</td><td>900</td><td>1802</td><td>900</td><td>Request for expedited examination of a design application</td><td></td></tr><tr><td colspan="6">Other fee (specify) _____</td></tr><tr><td colspan="5">*Reduced by Basic Filing Fee Paid</td><td><b>SUBTOTAL (3)</b> (\$ ) 180.00</td></tr></tbody></table></div> <div style="width: 48%;"><b>1. BASIC FILING FEE</b><table border="1" style="width:100%; border-collapse: collapse;"><thead><tr><th colspan="2">Large Entity</th><th colspan="2">Small Entity</th><th rowspan="2">Fee Description</th><th rowspan="2">Fee Paid</th></tr><tr><th>Fee Code</th><th>Fee (\$)</th><th>Fee Code</th><th>Fee (\$)</th></tr></thead><tbody><tr><td>1001</td><td>750</td><td>2001</td><td>375</td><td>Utility filing fee</td><td></td></tr><tr><td>1002</td><td>330</td><td>2002</td><td>165</td><td>Design filing fee</td><td></td></tr><tr><td>1003</td><td>520</td><td>2003</td><td>260</td><td>Plant filing fee</td><td></td></tr><tr><td>1004</td><td>750</td><td>2004</td><td>375</td><td>Reissue filing fee</td><td></td></tr><tr><td>1005</td><td>160</td><td>2005</td><td>80</td><td>Provisional filing fee</td><td></td></tr><tr><td colspan="5"><b>SUBTOTAL (1)</b> (\$ )</td><td>0.00</td></tr></tbody></table><b>2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE</b><table border="1" style="width:100%; border-collapse: collapse;"><thead><tr><th colspan="2"></th><th>Extra Claims</th><th>Fee from below</th><th>Fee Paid</th></tr></thead><tbody><tr><td>Total Claims</td><td></td><td>** =</td><td></td><td></td></tr><tr><td>Independent Claims</td><td></td><td>** =</td><td></td><td></td></tr><tr><td>Multiple Dependent</td><td></td><td></td><td></td><td></td></tr></tbody></table><table border="1" style="width:100%; border-collapse: collapse;"><thead><tr><th colspan="2">Large Entity</th><th colspan="2">Small Entity</th><th rowspan="2">Fee Description</th><th rowspan="2">Fee Paid</th></tr><tr><th>Fee Code</th><th>Fee (\$)</th><th>Fee Code</th><th>Fee (\$)</th></tr></thead><tbody><tr><td>1202</td><td>18</td><td>2202</td><td>9</td><td>Claims in excess of 20</td><td></td></tr><tr><td>1201</td><td>84</td><td>2201</td><td>42</td><td>Independent claims in excess of 3</td><td></td></tr><tr><td>1203</td><td>280</td><td>2203</td><td>140</td><td>Multiple dependent claim, if not paid</td><td></td></tr><tr><td>1204</td><td>84</td><td>2204</td><td>42</td><td>** Reissue independent claims over original patent</td><td></td></tr><tr><td>1205</td><td>18</td><td>2205</td><td>9</td><td>** Reissue claims in excess of 20 and over original patent</td><td></td></tr><tr><td colspan="5"><b>SUBTOTAL (2)</b> (\$ )</td><td>0.00</td></tr></tbody></table><p><small>** or number previously paid, if greater; For Reissues, see above</small></p></div>				Large Entity		Small Entity		Fee Description	Fee Paid	Fee Code	Fee (\$)	Fee Code	Fee (\$)	1051	130	2051	65	Surcharge - late filing fee or oath		1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet		1053	130	1053	130	Non-English specification		1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination		1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action		1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action		1251	110	2251	55	Extension for reply within first month		1252	410	2252	205	Extension for reply within second month		1253	930	2253	465	Extension for reply within third month		1254	1,450	2254	725	Extension for reply within fourth month		1255	1,970	2255	985	Extension for reply within fifth month		1401	320	2401	160	Notice of Appeal		1402	320	2402	160	Filing a brief in support of an appeal		1403	280	2403	140	Request for oral hearing		1451	1,510	1451	1,510	Petition to Institute a public use proceeding		1452	110	2452	55	Petition to revive - unavoidable		1453	1,300	2453	650	Petition to revive - unintentional		1501	1,300	2501	650	Utility issue fee (or reissue)		1502	470	2502	235	Design issue fee		1503	630	2503	315	Plant issue fee		1460	130	1460	130	Petitions to the Commissioner		1807	50	1807	50	Processing fee under 37 CFR 1.17(q)		1806	180	1806	180	Submission of Information Disclosure Stmt	180.00	8021	40	8021	40	Recording each patent assignment per property (times number of properties)		1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))		1810	750	2810	375	For each additional invention to be examined (37CFR 1.129(b))		1801	750	2801	375	Request for Continued Examination (RCE)		1802	900	1802	900	Request for expedited examination of a design application		Other fee (specify) _____						*Reduced by Basic Filing Fee Paid					<b>SUBTOTAL (3)</b> (\$ ) 180.00	Large Entity		Small Entity		Fee Description	Fee Paid	Fee Code	Fee (\$)	Fee Code	Fee (\$)	1001	750	2001	375	Utility filing fee		1002	330	2002	165	Design filing fee		1003	520	2003	260	Plant filing fee		1004	750	2004	375	Reissue filing fee		1005	160	2005	80	Provisional filing fee		<b>SUBTOTAL (1)</b> (\$ )					0.00			Extra Claims	Fee from below	Fee Paid	Total Claims		** =			Independent Claims		** =			Multiple Dependent					Large Entity		Small Entity		Fee Description	Fee Paid	Fee Code	Fee (\$)	Fee Code	Fee (\$)	1202	18	2202	9	Claims in excess of 20		1201	84	2201	42	Independent claims in excess of 3		1203	280	2203	140	Multiple dependent claim, if not paid		1204	84	2204	42	** Reissue independent claims over original patent		1205	18	2205	9	** Reissue claims in excess of 20 and over original patent		<b>SUBTOTAL (2)</b> (\$ )					0.00
Large Entity		Small Entity		Fee Description	Fee Paid																																																																																																																																																																																																																																																																																																												
Fee Code	Fee (\$)	Fee Code	Fee (\$)																																																																																																																																																																																																																																																																																																														
1051	130	2051	65	Surcharge - late filing fee or oath																																																																																																																																																																																																																																																																																																													
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet																																																																																																																																																																																																																																																																																																													
1053	130	1053	130	Non-English specification																																																																																																																																																																																																																																																																																																													
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination																																																																																																																																																																																																																																																																																																													
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action																																																																																																																																																																																																																																																																																																													
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action																																																																																																																																																																																																																																																																																																													
1251	110	2251	55	Extension for reply within first month																																																																																																																																																																																																																																																																																																													
1252	410	2252	205	Extension for reply within second month																																																																																																																																																																																																																																																																																																													
1253	930	2253	465	Extension for reply within third month																																																																																																																																																																																																																																																																																																													
1254	1,450	2254	725	Extension for reply within fourth month																																																																																																																																																																																																																																																																																																													
1255	1,970	2255	985	Extension for reply within fifth month																																																																																																																																																																																																																																																																																																													
1401	320	2401	160	Notice of Appeal																																																																																																																																																																																																																																																																																																													
1402	320	2402	160	Filing a brief in support of an appeal																																																																																																																																																																																																																																																																																																													
1403	280	2403	140	Request for oral hearing																																																																																																																																																																																																																																																																																																													
1451	1,510	1451	1,510	Petition to Institute a public use proceeding																																																																																																																																																																																																																																																																																																													
1452	110	2452	55	Petition to revive - unavoidable																																																																																																																																																																																																																																																																																																													
1453	1,300	2453	650	Petition to revive - unintentional																																																																																																																																																																																																																																																																																																													
1501	1,300	2501	650	Utility issue fee (or reissue)																																																																																																																																																																																																																																																																																																													
1502	470	2502	235	Design issue fee																																																																																																																																																																																																																																																																																																													
1503	630	2503	315	Plant issue fee																																																																																																																																																																																																																																																																																																													
1460	130	1460	130	Petitions to the Commissioner																																																																																																																																																																																																																																																																																																													
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)																																																																																																																																																																																																																																																																																																													
1806	180	1806	180	Submission of Information Disclosure Stmt	180.00																																																																																																																																																																																																																																																																																																												
8021	40	8021	40	Recording each patent assignment per property (times number of properties)																																																																																																																																																																																																																																																																																																													
1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))																																																																																																																																																																																																																																																																																																													
1810	750	2810	375	For each additional invention to be examined (37CFR 1.129(b))																																																																																																																																																																																																																																																																																																													
1801	750	2801	375	Request for Continued Examination (RCE)																																																																																																																																																																																																																																																																																																													
1802	900	1802	900	Request for expedited examination of a design application																																																																																																																																																																																																																																																																																																													
Other fee (specify) _____																																																																																																																																																																																																																																																																																																																	
*Reduced by Basic Filing Fee Paid					<b>SUBTOTAL (3)</b> (\$ ) 180.00																																																																																																																																																																																																																																																																																																												
Large Entity		Small Entity		Fee Description	Fee Paid																																																																																																																																																																																																																																																																																																												
Fee Code	Fee (\$)	Fee Code	Fee (\$)																																																																																																																																																																																																																																																																																																														
1001	750	2001	375	Utility filing fee																																																																																																																																																																																																																																																																																																													
1002	330	2002	165	Design filing fee																																																																																																																																																																																																																																																																																																													
1003	520	2003	260	Plant filing fee																																																																																																																																																																																																																																																																																																													
1004	750	2004	375	Reissue filing fee																																																																																																																																																																																																																																																																																																													
1005	160	2005	80	Provisional filing fee																																																																																																																																																																																																																																																																																																													
<b>SUBTOTAL (1)</b> (\$ )					0.00																																																																																																																																																																																																																																																																																																												
		Extra Claims	Fee from below	Fee Paid																																																																																																																																																																																																																																																																																																													
Total Claims		** =																																																																																																																																																																																																																																																																																																															
Independent Claims		** =																																																																																																																																																																																																																																																																																																															
Multiple Dependent																																																																																																																																																																																																																																																																																																																	
Large Entity		Small Entity		Fee Description	Fee Paid																																																																																																																																																																																																																																																																																																												
Fee Code	Fee (\$)	Fee Code	Fee (\$)																																																																																																																																																																																																																																																																																																														
1202	18	2202	9	Claims in excess of 20																																																																																																																																																																																																																																																																																																													
1201	84	2201	42	Independent claims in excess of 3																																																																																																																																																																																																																																																																																																													
1203	280	2203	140	Multiple dependent claim, if not paid																																																																																																																																																																																																																																																																																																													
1204	84	2204	42	** Reissue independent claims over original patent																																																																																																																																																																																																																																																																																																													
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent																																																																																																																																																																																																																																																																																																													
<b>SUBTOTAL (2)</b> (\$ )					0.00																																																																																																																																																																																																																																																																																																												

**SUBMITTED BY**

Name (Print/Type)		Registration No. (Attorney/Agent)	Telephone
Thomas J. D'Amico		28,371	(202) 828-2232
Signature			Date
			March 26, 2003